

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10661390
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit	3714	
Examiner Name	Sara Sarkhili	
Attorney Docket Number	60518-175	

U.S.PATENTS						<a href="#">Remove</a>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6071190		2000-06-06	Weiss et al.	
	2	6077162		2000-06-20	Weiss	
	3	6080062		2000-06-27	Olson	
	4	6089982		2000-07-18	Holch et al.	
	5	6093103		2000-07-25	McCrea, Jr.	
	6	6110043		2000-08-29	Olsen	
	7	6110044		2000-08-29	Stern	
	8	6117011		2007-03-23	Lvov	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661390
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Sara Sarkhili	
Attorney Docket Number		60518-175

	9	6117012		2000-09-12	McCrea, Jr.	
	10	6126546		2000-10-03	Reed et al.	
	11	6146273		2000-11-14	Olsen	
	12	6162122		2000-12-19	Acres et al.	
	13	6183362		2001-02-06	Boushy	
	14	6210277		2001-04-03	Stefan	
	15	6267671	B1	2001-07-31	Hogan	
	16	6302793	B1	2001-10-16	Fertitta, III et al.	
	17	4764666		1988-08-16	Bergeron	
	18	5133076		1992-07-21	Hawkins et al.	
	19	5586937		1996-12-24	Menashe	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661390
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Sara Sarkhili	
Attorney Docket Number		60518-175

	20	5598572		1997-01-28	Tanikoshi et al.	
	21	5674128		1997-10-07	Holch et al.	
	22	5797796		1998-08-25	Dickinson	
	23	5804803		1998-09-08	Cragun et al.	
	24	5867688		1999-02-02	Simmon et al.	
	25	5984786		1999-11-16	Ehrman	
	26	6024641		2000-02-15	Sarno	
	27	6113495		2000-09-05	Walker et al.	
	28	6154738		2000-11-28	Call	
	29	6240444	B1	2001-05-29	Fin et al.	
	30	6435968	B1	2002-08-20	Torango	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661390
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Sara Sarkhili	
Attorney Docket Number		60518-175

	31	6592460	B2	2003-07-15	Torango	
	32	6633914	B1	2003-10-14	Baych et al.	
	33	6645077	B2	2003-11-11	Rowe	
	34	6650346	B1	2003-11-18	Jaeger et al.	
	35	6681984	B2	2004-01-27	Brunner	
	36	6682421	B1	2004-01-27	Rowe et al.	
	37	6712695	B2	2004-03-30	Mothwurf et al.	
	38	6712698	B2	2004-03-30	Paulsen et al.	
	39	6763998	B1	2004-07-20	Miodunski et al.	
	40	6830515	B2	2004-12-14	Rowe	
	41	6962531	B2	2005-11-08	Pace et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10661390
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Sara Sarkhili
Attorney Docket Number	60518-175

42	7035814	B2	2006-04-25	Malkin et al.	
----	---------	----	------------	---------------	--

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20010003100	A1	2001-06-07	Yacenda	
	2	20010029528	A1	2001-10-11	Coutts et al.	
	3	20010036857	A1	2001-11-01	Mothwurf et al.	
	4	20010036858	A1	2001-11-01	McNutt et al.	
	5	20010056386	A1	2001-12-27	O'Halloran et al.	
	6	20020002075	A1	2002-01-03	Rowe	
	7	20020042297	A1	2002-04-11	Torango	
	8	20020058550	A1	2002-05-16	Pace et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10661390
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Sara Sarkhili
Attorney Docket Number	60518-175

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>
If you wish to add additional Foreign Patent Document citation information please click the Add button								Add
NON-PATENT LITERATURE DOCUMENTS								Remove
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						T <sup>5</sup>
	1	U.S. Serial No. 10/661,392; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for enrolling a player in a player tracking system"; Examiner: Aashish Karkhanis; Group Art Unit: 3714; Atty Docket: 60518-159.						<input type="checkbox"/>
	2	U.S. Serial No. 10/661,140; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for retrieving player attendance information in a gaming system"; Examiner: Aashish Karkhanis; Group Art Unit: 3714; Atty Docket: 60518-163.						<input type="checkbox"/>
	3	U.S. Serial No. 10/660,959; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for processing a hopper fill in a gaming system"; Examiner: Aashish Karkhanis; Group Art Unit: 3714; Atty Docket: 60518-165.						<input type="checkbox"/>
	4	U.S. Serial No. 10/661,450; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for retrieving remote device information"; Examiner: Aashish Karkhanis; Group Art Unit: 3714; Atty Docket: 60518-178.						<input type="checkbox"/>
	5	U.S. Serial No. 10/661,395; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for processing a cash ticket in a gaming system"; Examiner: Corbett B. Coburn; Group Art Unit: 3714; Atty Docket: 60518-166.						<input type="checkbox"/>
	6	U.S. Serial No. 10/661,145; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for processing an alert in a gaming system"; Examiner: Sunit Pandya; Group Art Unit: 3714; Atty Docket: 60518-162.						<input type="checkbox"/>
	7	U.S. Serial No. 10/661,391; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for processing a table rating for a player"; Examiner: Sunit Pandya; Group Art Unit: 3714; Atty Docket: 60518-167.						<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10661390
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Sara Sarkhili
Attorney Docket Number	60518-175

8	U.S. Serial No. 10/661,131; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for retrieving player information in a player tracking system"; Examiner: Kim T. Nguyen; Group Art Unit: 3714; Atty Docket: 60518-160.	<input type="checkbox"/>
9	U.S. Serial No. 10/662,101; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for adjusting points assigned to a player in a player tracking system"; Examiner: Kim T. Nguyen; Group Art Unit: 3714; Atty Docket: 60518-174.	<input type="checkbox"/>
10	U.S. Serial No. 10/661,865; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for redemption of printed vouchers"; Examiner: Mark Alan Sager; Group Art Unit: 3714; Atty Docket: 60518-176.	<input type="checkbox"/>
11	U.S. Serial No. 10/661,133; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for processing a jackpot in a gaming system"; Examiner: Matthew D. Hoel; Group Art Unit: 3714; Atty Docket: 60518-164.	<input type="checkbox"/>
12	U.S. Serial No. 10/661,129; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for retrieving device information"; Examiner: Ross A. Williams; Group Art Unit: 3714; Atty Docket: 60518-161.	<input type="checkbox"/>
13	U.S. Serial No. 10/661,233; Filed: September 12, 2003; Applicant: Jeffrey George; "System and method for retrieving voucher information assigned to a player in a player tracking system"; Examiner: Kim T. Nguyen; Group Art Unit: 3714; Atty Docket: 60518-177.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10661390
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Sara Sarkhili
Attorney Docket Number	60518-175

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/James R. Yee/	Date (YYYY-MM-DD)	2007-03-25
Name/Print	James R. Yee	Registration Number	34460

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.